

RAR Report Methodology Document
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RAR Report contains three format options, based on user selection:

- Fiscal Year to Date
- Rolling Period
- Calendar Year to Date

RAR report contains four categories:

- Proposals
- Awards
- Expenses
- Balances

The RAR report is driven from KC Award data (i.e., if an award exists in KC, then the associated expense and balance data is counted and identified on the RAR).

Proposals:

Proposals data represents:

- Number of Proposals Submitted
- Dollar Value of Proposals Submitted (\$)
- Indirect Cost Value of Proposals Submitted (\$)

Methodology:

Source Data: KC and AIS from EDW

1. Inclusion criteria:
 - a. All proposals submitted within the selected timeframe.
2. Exclusion criteria:
 - a. All proposals with the activity type, Student Aid.

Sample Screen:

School	Number of Proposals Submitted			Dollar Value of Proposals Submitted (\$)			Indirect Cost Value of Proposals Submitted (\$)		
	2014	2013	2012	2014	2013	2012	2014	2013	2012
Annenberg School	30	39	52	6,067,651	13,888,895	14,065,499	867,776	1,770,174	1,586,629
Architecture	14	12	6	654,696	782,874	283,220	170,068	229,469	44,824
Centers for Creative Technologies	79	114	139	27,607,547	41,251,494	48,197,430	5,417,995	6,416,851	9,733,654
Cinematic Arts	6	12	19	2,462,623	1,884,739	2,902,841	412,415	265,233	438,335
Davis School of Gerontology	78	73	78	20,095,636	13,210,331	16,036,629	7,387,794	4,303,610	4,721,914
Dornsife College	520	612	687	111,342,710	109,273,433	150,791,013	36,144,441	38,508,473	40,065,430
Gould School of Law	8	3	10	1,145,495	508,611	1,122,247	258,883	149,844	252,423
Kock School of Medicine	1,088	1,343	1,466	292,988,597	366,965,852	391,448,071	95,248,968	135,636,644	141,230,416
Marshall School of Business	12	17	23	894,208	1,437,560	2,844,496	254,471	293,015	565,925
Oatrow School of Dentistry	97	120	123	23,699,367	29,572,185	33,191,920	7,570,233	8,506,877	7,347,835
Other	184	70	87	20,459,303	14,748,798	17,873,861	4,037,758	1,943,220	2,029,687
Pharmacy	67	115	115	20,763,924	32,697,195	28,073,497	6,217,021	11,206,667	8,623,606
Price School of Public Policy	58	71	79	11,188,998	15,097,030	16,682,811	3,189,809	5,376,531	3,300,994
Rossier School of Education	54	67	82	11,015,261	12,510,025	12,680,725	1,873,932	2,282,963	2,619,160
Social Work	41	71	65	14,813,986	21,113,867	20,457,967	3,901,155	7,726,459	6,586,228
Thornton School of Music	0	0	1	0	0	1,600	0	0	0
Viterbi School of Engineering	601	790	921	212,665,510	210,422,056	251,832,819	68,485,230	49,810,547	67,182,821
Overall - Total	2,857	3,529	3,953	777,865,412	884,364,946	1,008,486,646	241,429,949	274,626,580	296,729,781

Awards

Awards data represents:

- Number of Proposals Awarded
- Dollar Value of Proposals Awarded (\$)
- Indirect Cost Value of Proposals Awarded (\$)

Methodology

Source Data: KC and AIS from EDW

1. Inclusion Criteria:

- a. Accounts starting with '51','52','53','54','55','57'
- b. Transaction Types:
 - i. Increment (KC Code 1)
 - ii. Continuation (KC Code 2)
 - iii. Correction (KC Code 3)
 - iv. Delivery Order(KC Code 5)
 - v. Deobligation (KC Code 6)
 - vi. New(KC Code 9)
 - vii. Renewal (KC Code 11)
 - viii. Supplement (KC Code 14)
 - ix. Task Order (KC Code 17)
 - x. Modification (KC Code 21)

2. Exclusion Criteria:

- a. Voided Awards: All awards where a single voided activity exists for a given Award.
- b. Transaction Type: PreAward (KC Code 19) and other KC codes not part of the inclusion list above.

Sample Screen:

School	Number of Proposals Awarded			Dollar Value of Proposals Awarded (\$)			Indirect Cost Value of Proposals Awarded (\$)		
	2014	2013	2012	2014	2013	2012	2014	2013	2012
Annenberg School	32	32	40	6,903,398	16,399,887	12,006,880	1,027,150	1,661,304	1,139,990
Architecture	4	3	4	62,500	197,500	180,900	4,500	13,044	8,891
Centers for Creative Technologies	63	57	79	27,516,676	13,785,430	33,554,018	5,450,788	2,391,356	5,445,701
Cinematic Arts	3	10	9	1,621,775	2,766,461	1,969,518	173,969	301,380	230,622
Davis School of Gerontology	35	43	37	7,724,456	6,530,755	8,266,948	2,306,505	2,022,585	2,177,207
Dornsife College	353	373	350	89,680,272	85,833,119	76,299,120	19,762,466	21,395,739	18,979,762
Gould School of Law	3	4	4	509,596	565,583	466,584	106,417	113,185	97,499
Keck School of Medicine	1,953	824	753	190,014,295	201,743,927	200,589,503	53,328,756	56,157,890	56,933,369
Marshall School of Business	6	17	16	690,759	1,668,588	1,066,230	120,965	360,262	296,351
Ostrow School of Dentistry	48	64	71	8,144,751	17,151,314	16,068,638	1,965,984	3,674,765	3,245,667
Other	40	54	55	13,202,084	13,812,419	10,540,117	809,394	983,021	758,489
Pharmacy	47	50	51	13,370,682	8,853,472	10,832,855	3,532,817	2,754,813	3,065,216
Price School of Public Policy	43	47	49	7,820,872	7,445,132	4,380,712	1,667,263	2,232,284	981,989
Rosier School of Education	29	44	47	5,988,946	5,859,944	8,374,806	192,971	913,460	852,363
Social Work	37	38	35	11,368,328	10,566,395	9,350,237	2,384,577	1,537,360	1,753,652
Viterbi School of Engineering	448	467	487	108,460,483	116,224,218	133,903,720	28,744,319	26,745,824	29,505,071
Overall - Total	3,144	2,127	2,087	493,079,871	509,404,144	527,850,787	121,578,841	123,258,263	125,461,739

Note: Award counts are larger for Keck School of Medicine based on the way industry clinical trial accounts are processed. 51 accounts are processed on a cash-basis resulting in multiple transactions. The RAR is counting each time money comes in.

Expenses

Expenses data represents:

- Number of Active Accounts
- Expenses
- IDC Expenses

Methodology

Source Data: KFS, KC and AIS from EDW

1. Inclusion Criteria:

- a. Includes Accounts starting with: '51','52','53','54','55','57'
- b. Includes Object Codes for IDC Expenses: 10100 and 10200.
- c. Includes Sub Fund Group Code: CTCP (Current Private Clinical Trials)

2. Exclusion Criteria:

- a. Exclude accounts that start with 56' and 58'
- b. Excludes Object Codes: 10300,10400,10500 and 10600
- c. Excludes Sub Fund Group Codes: CG, CP, CPF, CSL, CSLF.

Sample Screen:

School	Number of Active Accounts			Active Account Expenditures (\$)			Active Account F&A Expenditures (\$)		
	2014	2013	2012	2014	2013	2012	2014	2013	2012
Annenberg School	72	88	87	7,703,783	8,915,708	9,235,734	822,662	1,125,251	1,159,621
Architecture	7	16	17	127,123	273,380	262,768	20,694	-1,539	3,407
Centers for Creative Technologies	142	161	184	21,000,769	32,188,795	33,298,320	3,423,642	4,766,454	5,108,121
Cinematic Arts	19	23	24	1,666,788	1,556,525	1,520,626	215,615	257,898	284,929
Davis School of Gerontology	77	77	61	6,867,208	7,371,450	7,257,306	2,264,702	1,891,317	1,824,327
Dornsife College	808	833	851	58,396,512	66,656,153	74,430,296	14,343,915	16,644,062	17,647,043
Gould School of Law	6	4	3	342,602	562,604	589,388	76,204	136,969	139,299
Keck School of Medicine	1,730	1,379	1,446	154,415,398	198,592,483	209,782,169	41,137,896	54,470,685	56,613,112
Marshall School of Business	26	28	26	949,768	884,494	966,655	180,059	199,625	178,648
Ostrow School of Dentistry	107	140	135	10,506,842	14,649,704	16,517,100	2,327,474	3,222,974	3,967,780
Other	83	83	91	8,847,865	11,225,719	10,487,440	564,747	777,637	713,574
Pharmacy	89	89	119	12,483,020	13,221,549	13,140,343	2,895,407	3,248,475	3,389,073
Price School of Public Policy	90	102	102	5,938,113	7,977,205	7,714,783	1,688,866	2,109,147	1,788,059
Roski School of Fine Arts	0	0	1	0	0	57,503	0	0	0
Rossier School of Education	77	90	100	5,940,508	6,737,801	6,759,889	597,993	984,851	843,332
Social Work	57	63	58	9,028,215	10,989,027	11,437,801	1,599,360	1,976,223	2,129,551
Thornton School of Music	0	0	0	0	86	0	0	0	0
Viterbi School of Engineering	1,130	1,446	1,314	76,694,531	128,656,211	113,229,753	17,683,175	28,316,708	25,556,279
Overall - Total	4,520	4,622	4,619	380,909,045	510,458,894	516,687,876	69,842,410	120,126,736	121,346,357

Note: KFS Research Accounts not linked to KC Awards: The RAR Reconciliation Report will be generated on a weekly basis. SPA will review and manually fix any account to link to the appropriate KC Master Account if error is caused by data entry. If problem is systemic, ITS will be responsible for fixing the issue. After the accounts are linked, the data will be available for the RAR.

Note: To ensure the Expense section of the RAR is accurate, the RAR Expense data is compared to the Office of Budget and Planning's IDC Report. Utilizing the filters Object Code and Sub Fund Group Code to include only 10100, 10200 and CTCP, the expense totals on the two reports should match.

Balances

Balances data represents:

- Remaining Balances
- IDC Remaining Balances
- Months of Funding Remaining in Active Accounts

Methodology

Source Data: KFS, KC and AIS data from EDW

1. Inclusion Criteria:

- a. Includes only the accounts start with '51','52','53','54','55','57'
- b. Includes Object Codes for IDC Remaining Balance: 10100 and 10200.
- c. Includes Sub Fund Group Code: CTCP (Current Private Clinical Trials)

2. Exclusion Criteria:

- a. Exclude accounts that start with 56' and 58'
- b. Excludes Object Codes: 10300,10400,10500 and 10600
- c. Excludes Sub Fund Group Codes: CG, CP, CPF, CSL, CSLF.

Sample Screen:

School	Remaining Funds in Accounts (\$)			Remaining Indirect Costs of Active Accounts (\$)			Months of Funding Remaining in Accounts		
	2014	2013	2012	2014	2013	2012	2014	2013	2012
Annenberg School	13,565,117	12,640,837	9,697,074	1,590,558	1,563,116	1,104,138	21	17	13
Architecture	90,483	236,230	280,591	3,284	20,066	5,484	9	10	13
Centers for Creative Technologies	14,161,140	14,945,833	19,391,875	2,412,960	2,807,070	3,234,006	8	6	7
Cinematic Arts	3,145,588	3,038,533	2,429,107	434,209	490,809	421,370	23	23	19
Davis School of Gerontology	6,555,263	5,424,716	6,227,208	2,281,161	1,935,593	1,808,824	11	9	10
Dornsife College	117,027,336	85,761,617	69,184,023	24,756,792	20,521,555	17,999,444	24	15	11
Gould School of Law	534,521	277,873	292,126	106,399	76,186	134,167	19	6	6
Keck School of Medicine	162,628,415	137,995,333	145,823,240	43,449,728	36,631,077	43,495,127	13	8	8
Marshall School of Business	1,880,576	1,831,204	1,310,181	368,934	379,417	249,198	24	25	16
Ostrow School of Dentistry	12,261,389	12,967,339	11,266,148	2,501,294	2,936,220	3,055,512	14	11	8
Other	11,093,496	5,482,349	5,518,788	698,915	395,761	346,412	15	6	6
Pharmacy	11,235,211	8,493,977	11,669,670	3,286,290	2,848,943	2,959,936	11	8	11
Price School of Public Policy	8,211,456	8,765,765	9,067,318	2,283,211	2,515,404	2,316,553	17	13	14
Roski School of Fine Arts	413	413	413	0	0	0	0	0	0
Rossier School of Education	6,742,378	6,954,919	7,680,503	377,051	822,632	863,160	14	12	14
Social Work	8,548,553	5,311,266	5,739,427	2,108,623	1,372,766	1,628,361	11	6	6
Thorton School of Music	0	0	86	0	0	0	0	0	0
Viterbi School of Engineering	88,085,711	100,956,563	117,625,281	23,738,579	25,981,004	30,770,747	14	9	12
Overall - Total	465,767,044	411,084,768	423,207,057	110,397,988	101,297,620	110,392,442	15	10	10

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Note: To ensure the Balance section of the RAR is accurate, the RAR Balance data is compared to the Office of Budget and Planning's IDC Report. Utilizing the filters Object Code and Sub Fund Group Code to include only 10100, 10200 and CTCP, the balance totals on the two reports should match.