

NewsFlash!

The Office of Research has updated the International Research [webpage](#) to include Frequently Asked Questions regarding the International Collaborations and Export Controls Policy and a [new form](#) to use when requesting an exception at the proposal stage.

The FAQ covers:

- What clauses identified in a solicitation and/or sponsored agreement require a request for exception?
- What types of publication terms fall within this policy?
- When must I submit an exception request?
- What types of publication terms do not fall within this policy?
- What do I do if a sponsor wishes to impose publication restrictions at time of award?
- If I need to submit an exception request for a restricted project, what type of information do I need to provide?
- What happens after an exception request is submitted?
- Who is a foreign national or foreign person?
- Are fellowship programs that have a U.S. Citizenship eligibility requirement required to submit an exception under this policy?
- What are some examples of troublesome Export Control clauses requiring review?
- What are some examples of Export Control clauses not requiring review?

Below are some reminders regarding the process for requesting approval for conducting restricted research:

- When publication restrictions and/or personnel limitations are explicitly stated *in a solicitation or funding announcement*:
 - The PI should use this [proposal request form](#) to prepare and submit the request for exception prior to proposal submission.
 - The request should be endorsed in writing by his/her dean prior to submitting to the Vice President of Research.
 - The request must be submitted at least 30 days prior to the proposal deadline.
 - Review and approval by the standing committee of faculty must be conducted prior to proposal submission.
 - *Please Note: The review and approval of proposals where work will be performed in its entirety at either ISI or ICT is expedited, but should be requested at least one week in advance of the deadline.*
- When publication and/or personnel restrictions are not known *until time of award*:
 - The PI must prepare and submit the request for exception, endorsed in writing by his/her dean, to the Vice President of Research. Use [this form](#) to request exception at the time of award.
 - A minimum of 30 days should be allowed between the time a request is submitted and when a decision is made.

- In parallel with the above exception request review process, DCG will negotiate with the Sponsor to revise and/or remove the publication restrictions and/or personnel limitations. DCG will provide updates to the PI and VP, Research as to the status of the negotiations.
- Review and approval by the standing committee of faculty must be conducted prior to award execution.
- *Please Note: The review and approval of awards where work will be performed in its entirety at either ISI or ICT is expedited, but the request should be requested consistent with the timeframes noted above.*

Questions? If you have any questions, please feel free to reach out to your [DCG Officer](#) in the Department of Contracts and Grants.