Announcement: Effective **January 28, 2019**, The National Science Foundation (NSF) will implement the below changes to reflect the policy updates in the *Proposal & Award Policies & Procedures Guide* (PAPPG) (NSF 19-1).

Significant Changes

- **Chapter I.A, NSF Proposal Preparation and Submission**, has been updated to provide information about the modernization of the proposal preparation functionality in Research.gov. The new coverage specifies that the on-screen instructions in Research.gov may differ from what is stated in the PAPPG and that the on-screen instructions must be followed.

- **Chapter I.C.4, Dear Colleague Letters (DCLs)**, has been revised to address an expanded use of the DCL. They also may now be used to announce NSF's interest in receiving proposals in specified topical areas via the following types of proposals described in Chapter II.E.: Rapid Response Research (RAPID); Early-concept Grants for Exploratory Research (EAGER); Research Advanced by Interdisciplinary Science and Engineering (RAISE); and Conference.

- **Chapter I.D.3, Full Proposals**, incorporates reminders regarding the importance of strict adherence to the rules of proper scholarship and attribution throughout the entire proposal and award lifecycle, including: proposing or performing research funded by NSF; reviewing research proposals submitted to NSF; or reporting research results funded by NSF. Serious failure to adhere to such standards can result in findings of research misconduct.

- **Chapter I.E.5, Unaffiliated Individuals**, has been revised to state that unless specifically authorized in an NSF solicitation, unaffiliated individuals are ineligible to receive direct funding support from NSF.

- **Chapter II.C.2.g(vi)(e), Subawards**, has been updated to clarify that the description of the work to be performed by the subaward must be included in the project description.

- **Chapter II.E, Types of Proposals**, specifies that "RAPID", "EAGER" and "RAISE" must be included in the proposal project title. The language has been updated to indicate that these proposal types are not eligible for reconsideration.

- **Chapter II.E.7, Conferences**, has been supplemented with new language that requires conference proposers to have a policy or code-of-conduct that addresses sexual harassment, other forms of harassment, or sexual assault, and that includes clear and accessible means of reporting violations of the policy or code-of-conduct. This policy or code-of-conduct must be disseminated to conference participants prior to attendance at the conference as well as made available at the conference itself. This section also has been updated to specify that conference proposals in excess of $50,000 must include the Collaborators & Other Affiliations Information in the proposal submission. Guidance in the Participant Support Costs section of conference proposals has been updated to clarify that speakers and trainers are not considered participants and should not be included in this section of the budget. If the primary purpose of the individual's attendance at the conference is learning and receiving training as a participant, however, then the costs may be included under participant support. If the primary purpose is to speak or assist with management of the conference, then such costs should be budgeted in appropriate categories other than participant support.

- **Chapter II.E.8, Equipment Proposals**, has been updated to specify that equipment proposals must include the Collaborators & Other Affiliations Information in the proposal submission.

- **Chapter IV.E, Resubmission**, has been revised to establish that NSF programs that accept proposals at any time may have guidelines in which a declined proposal is ineligible for resubmission for a specified period of time.

- **Chapter VII.A.2, Grantee Notifications to NSF**, has been updated to include two new grantee notifications to NSF to implement the Foundation's term and condition entitled, "Notification Requirements Regarding Sexual Harassment, Other Forms of Harassment, and Sexual Assault." These notifications must be submitted through use of NSF's Office of Diversity and Inclusion harassment website.

- **Chapter VII.B.3, Changes in PI/PD**, co-PI/co-PD or Person-Months Devoted to the Project at the Initiation of NSF, is an entirely new section that describes the process that will be used by NSF upon receipt of notification identified Chapter VII.A.2.f. or g.

- **Chapter IX.B, Responsible Conduct of Research (RCR)**, has been supplemented with language encouraging the training of faculty in the responsible and ethical conduct of research.

- **Chapter XI.A.1.g, NSF Policy on Sexual Harassment** and Other Forms of Harassment, or Sexual Assault, has been supplemented with new NSF coverage regarding harassment that implements NSF Important Notice No. 144. This policy articulates that the Foundation will not tolerate sexual harassment, other forms of harassment, or sexual assault within the agency, at awardee organizations, or anywhere NSF-funded science and education are conducted.

- **Chapter XI.D, Intellectual Property**, incorporates into the PAPPG, existing patent policy that was previously implemented by regulation at 45 CFR 650.

Questions? If you have any questions, please contact the [DCG Officer](#) assigned to your department.